

09-25-03

Case - 1014

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/603,441

Filing Date

June 25, 2003

First Named Inventor

Daniel P. Becker, et al.

Group Art Unit

1614

Examiner Name

Unknown

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SEP 29 2003

TECH CENTER 1600/2900

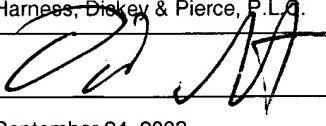
Attorney Docket Number

01136/1/US

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	FORM HDP-1449
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	13 References
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	Return Receipt Postcard
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

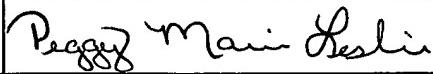
## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	David M. Gryte, PTO Reg. No. 41,809 Harness, Dickey & Pierce, P.L.C.
Signature	
Date	September 24, 2003

## CERTIFICATE OF MAILING

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on this date:  September 24, 2003

Typed or printed name	Peggy Marie Leslie		
Signature		Date	September 24, 2003

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Application of: Daniel P. Becker, et al.  
Serial No: 10/603,441  
Filed: June 25, 2003  
For: Hydroxamic Acid and Amide Compounds and Their Use as Protease Inhibitors  
Confirmation No: 4396  
Art Unit: 1614  
Examiner: TBA  
Attorney Ref: 01136/1/US  
HDP Ref: 6794-000057/US

September 24, 2003

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Madam/Sir:

To comply with 37 CFR §1.97 and §1.98, Applicants submit the enclosed Form PTO-1449 and copies of all documents listed on that form.

In accordance with MPEP §609 and §707.05(b), Applicants respectfully request that each document listed be given thorough consideration and that the Examiner cite each document of record in the prosecution history of the present application by initialing Form PTO-1449 next to each document. Applicants request such initialing even if the Examiner does not consider: (1) a listed document to be sufficiently pertinent to use in a rejection; (2) a document to be prior art for any reason; or (3) that the guidelines for citation have been fully complied with respecting a particular document. Applicants make this request so that each document becomes cited on the face of a patent issuing on the present application.

Applicants submit this Information Disclosure Statement in compliance with the duty to disclose information material to patentability under 37 CFR §1.5, but by listing such documents Applicants are not admitting that such documents are necessarily relevant or prior art.

Applicants intend no representation that the listed documents represent the results of a complete search. Applicants anticipate that the Examiner, in the normal course of examination, will make an independent search consistent with 37 CFR §1.104(a) and §1.106(b) and, in the course of such

Appl. No. 10/603,441  
Information Disclosure Statement  
September 24, 2003

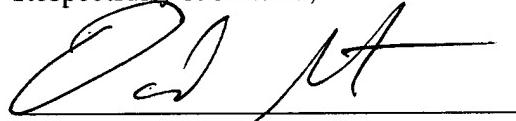
search, will review for relevance every document listed on the attached form even if not initialed.

Applicants request early and favorable consideration.

\* \* \* \* \*

Applicants believe that they do not owe any fee in connection with this Information Disclosure Statement. If, however, Applicants do owe any such fee(s), the Commissioner is hereby authorized to charge the fee(s) to Deposit Account No. **08-0750**. In addition, if there is ever any other fee deficiency or overpayment under 37 C.F.R. §1.16 or §1.17 in connection with this patent application, the Commissioner is hereby authorized to charge such deficiency or overpayment to Deposit Account No. **08-0750**.

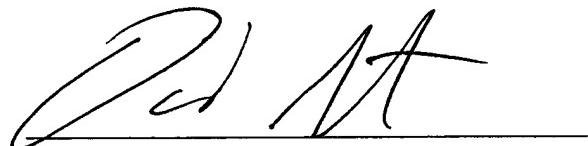
Respectfully submitted,



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CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

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FORM HDP-1449 (Based on Form PTO-1449)

**PATENT AND TRADEMARK OFFICE  
INFORMATION DISCLOSURE CITIGATION**  
(Use several sheets if necessary)

Sheet 1 of 2

ATTORNEY DOCKET NO.	SERIAL NO.
01136/1/US	10/603,441
APPLICANTS	
Daniel P. Becker, et al.	
FILING DATE	GRANTED June 25, 2003
	1614 RECEIVED JULY 29 2003 U.S. PATENT AND TRADEMARK OFFICE 1600 FLORIDA AVENUE, NW WASHINGTON, DC 20591-0000

**U.S. PATENT DOCUMENTS**

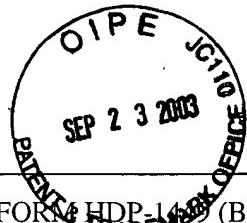
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.		U.S. Appl. No. 10/618,288		Barta, et al.		4/25/03
2.		Pending independent claims from U.S. Appl. No. 10/337,942		Barta, et al.		1/7/03
3.		U.S. Appl. No. 10/291,983		Freskos, et al.		11/12/02
4.		Allowed U.S. Publ. No. 20030171404	9/11/03	Barta, et al.		7/12/02
5.		Allowed U.S. Appl. No. 10/142,737		Freskos, et al.		5/10/02
6.		Allowed U.S. Publ. No. 20030073718	4/17/03	Barta, et al.		11/21/01
7.		Allowed U.S. Publ. No. 20020177588 (including allowed independent claims; 11/18/02 Office action; and 5/9/03 Notice of Allowance from corresponding U.S. Appl. No. 09/954,451)	11/28/02	Barta, et al.		9/17/01
8.		U.S. Appl. No. 09/570,731		Barta, et al.		5/12/00
9.		U.S. Appl. No. 09/311,837 (including pending independent claims, 6/14/00 Office action, and 5/3/01 Office action)		Barta, et al.		5/14/99
10.		6/1/00 Office action and 3/29/01 Office action from abandoned U.S. Appl. No. 09/256,948 (U.S. Publ. No. 20010039287)	11/8/01	Barta, et al.	514/330	2/24/99

Examiner:

Date Considered:

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FORM PTO-1449 (Based on Form PTO-1449)

**PATENT AND TRADEMARK OFFICE  
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(Use several sheets if necessary)

Sheet 2 of 2

ATTORNEY DOCKET NO.	SERIAL NO.
01136/1/US	10/603,441
APPLICANT	
Daniel P. Becker, et al.	
FILING DATE	GROUP
June 25, 2003	1614

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**U.S. PATENT DOCUMENTS**

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
11.		12/29/99 Office action, 8/7/00 Office action, 2/16/01 Office action, 11/26/01 Office action, and 6/25/02 Office action from abandoned U.S. Appl. No. 09/191,129 (U.S. Publ. No. 20010014688)	8/16/01	Barta, et al.	514/318	11/13/98
12.		11/19/99 Office action in Abandoned U.S. Appl. No. 09/186,410		Barta, et al.		11/5/98
13.		6,541,489 (including the 12/17/01 Office action; 5/16/02 Notice of Allowance; and 11/8/02 Notice of Allowance in the corresponding U.S. Appl. No. 09/554,082)	4/1/03	Barta, et al.	514/330	

**FOREIGN PATENT DOCUMENTS**

Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No

**OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)**

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Examiner:

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